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FROM: Terry W. Kramer
KRAMER & AMADO, P.C.

DATE: January 16, 2008

SUBJECT: U.S. Patent Application
Title: **PROTECTED AND HIGH AVAILABILITY PATHS
USING DBR REROUTE PATHS**
Serial No.: 10/699,786
Attorney Docket No.: ALC 3095

PAGES: INCLUDING COVER PAGE (19)

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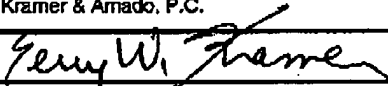
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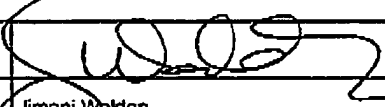
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/699,786	
	Filing Date	November 4, 2003	
	First Named Inventor	Michael Ellsworth Weedmark	
	Art Unit	2616	
	Examiner Name	Dady Chery	
Total Number of Pages in This Submission	18	Attorney Docket Number	ALC 3095

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input checked="" type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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Firm Name	Kramer & Amado, P.C.	
Signature		
Printed name	Terry W. Kramer	
Date	January 16, 2008	Reg. No. 41,541

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:	
Signature	
Typed or printed name	Jimani Walden
Date	January 16, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL

For FY 2008

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$510.00

Complete if Known

Application Number 10/699,786

Filing Date November 4, 2003

First Named Inventor Michael Ellsworth Weedmark

Examiner Name Dady Chery

Art Unit 2616

Attorney Docket No. ALC 3095

METHOD OF PAYMENT (check all that apply)

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Fee (\$)

Small Entity Fee (\$)

50 25

Each independent claim over 3 (including Reissues)

210 105

Multiple dependent claims

370 185

Total Claims Extra Claims Fee (\$)

- 20 or HP = x =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$)

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

- 100 = / 50 = (round up to a whole number) x =

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Appeal Brief

Fees Paid (\$)

\$510.00

SUBMITTED BY

Signature	<i>Terry W. Kramer</i>	Registration No. (Attorney/Agent)	41,541	Telephone	703-519-9801
Name (Print/Type)	Terry W. Kramer			Date	January 16, 2008

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES**

PATENT**RECEIVED
CENTRAL FAX CENTER**

In re Application of : Michael Ellsworth Weedmark
For : PROTECTED AND HIGH AVAILABILITY
Serial No.: : 10/699,786
Filed : November 4, 2003
Art Unit : 2616
Examiner : Dady Chery
Att. Docket : ALC 3095
Confirmation No. : 5910

JAN 16 2008

APPEAL BRIEF

Mail Stop Appeal Brief Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This Appeal Brief is submitted in support of the Notice of Appeal filed November 19, 2007.

I. REAL PARTY IN INTEREST

The party in interest is ALCATEL by way of an Assignment recorded at Reel 014670, frame
0870.

01/17/2008 VBUI11 00000036 10699786

01 FC:1402

510.00 OP

Application No: 10/699,786
Attorney's Docket No: ALC 3095

II. RELATED APPEALS AND INTERFERENCES

Following are identified any prior or pending appeals, interferences or judicial proceedings, known to Appellant, Appellant's representative, or the Assignee, that may be related to, or which will directly affect or be directly affected by or have a bearing upon the Board's decision in the pending appeal:

NONE.

III. STATUS OF CLAIMS

Claims 1-26 are on appeal.

Claims 1-26 are pending.

No claims are allowed.

Claims 1-26 are rejected.

IV. STATUS OF AMENDMENTS

All Amendments have been entered.

V. SUMMARY OF CLAIMED SUBJECT MATTER

The subject matter recited in claim 1 relates to a method of implementing an Active Connection Modify (ACM) for a connection in a communication system (see [22]-[29]; Fig. 2), the connection initially lying along an original connection between a source node and a destination node, the original connection conforming with at least one original traffic parameter,

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the method comprising the steps of: establishing an alternate connection between the source node (see [23]; Fig. 2) and the destination node; attempting to implement the ACM along the original connection; determining whether the connection along the original connection must be torn down (see [22], [28]-[29]; Fig. 2); and if the connection along the original connection must be torn down, switching the connection to the alternate connection before tearing down the connection along the original connection (see [22]; Figs. 1-2).

The subject matter recited in claim 14 relates to a method of implementing an Active Connection Modify (ACM) for a connection in a communication system (see [22]-[29]; Fig. 2), the connection initially lying along an original connection between a source node and a destination node, the original connection conforming with at least one original traffic parameter, the method comprising the steps of: receiving an ACM request from a user (see [22]); determining whether the ACM request includes a request that the connection be protected (see [22]); if the ACM request includes a request that the connection be protected, establishing an alternate connection between the source node and the destination node (see [22]); and attempting to implement the ACM along the original connection (see [22]); determining whether the connection along the original connection must be torn down (see [22]); if the connection along the original connection must be torn down and if the connection is to be protected, switching the connection to the alternate connection before tearing down the connection along the original connection (see [22]; Figs. 1-2).

VI. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

The following grounds of rejection are presented for review:

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A. Claims 1-26 are rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent No. 6,111,881 to Soncodi, in view of WO 98/49862 So et al. (hereinafter "So").

VII. ARGUMENT

A. Rejection of Claims 1-26 Under 35 U.S.C. §103(a)

The Final Office Action dated September 17, 2007, rejects claims 1-26 under 35 U.S.C. § 103(a) as being unpatentable over Soncodi in view of So.

1. Claims 1 and 14

Claims 1 and 14, from which claims 2-13 and 15-26 depend, recite, "determining whether the connection along the original connection must be torn down," and "if the connection along the original connection must be torn down...switching the connection to the alternate connection." With respect to the above-quoted subject matter, the Office Action relies on column 5, lines 22-29 of Soncodi.

Soncodi discloses clearing the old route after a new route is established. However, Soncodi does not disclose, teach or suggest determining whether the connection "must" be torn down (i.e.) "cleared." Thus, Soncodi also does not disclose, teach or suggest a switching action that occurs if the above determination is made.

So fails to overcome the deficiencies in Soncodi described above.

In the first instance of section 1 on page 2, the Office Action also includes a "Response to Arguments." In the Response to Arguments, the Office Action supplementally relies on column 2, lines 46-59 of Soncodi. However, column 2, lines 46-59 of Soncodi do not disclose, teach or suggest

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determining whether the connection "must" be torn down (i.e. "cleared"). Thus, Soncodi also does not disclose, teach or suggest a switching action that occurs if the above determination is made as recited in claim 1 and 14.

In fact, nowhere does Soncodi or So disclose, teach or suggest determining whether the connection must be torn down or a switching action that occurs if this determination is made.

For at least the foregoing reasons, claims 1 and 14 are patentable over Soncodi in view of So because the combination of Soncodi and So does not teach or suggest each and every element recited in claims 1 and 14.

2. Claims 2-13 and 15-25

Claims 2-13 and 15-25 depend from claims 1 and 14, respectively, and are therefore also patentable for at least the reasons stated above in connection with claims 1 and 14, as well as for the separately patentable subject matter recited therein.

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CONCLUSION

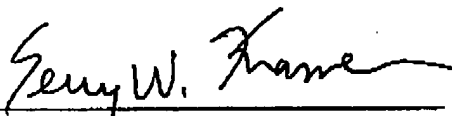
For at least the reasons discussed above, it is respectfully submitted that the rejections are in error and that claims 1-26 are in condition for allowance. For at least the above reasons, Appellants respectfully request that this Honorable Board reverse the rejections of claims 1-26.

Respectfully submitted,
KRAMER & AMADO, P.C.

January 16, 2008

Date

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Terry W. Kramer
Reg. No. 41,541

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Attorney's Docket No: ALC 3095

VIII. CLAIMS APPENDIX

CLAIMS INVOLVED IN THE APPEAL:

1. A method of implementing an Active Connection Modify (ACM) for a connection in a communication system, the connection initially lying along an original connection between a source node and a destination node, the original connection conforming with at least one original traffic parameter, the method comprising the steps of:

establishing an alternate connection between the source node and the destination node;
attempting to implement the ACM along the original connection;
determining whether the connection along the original connection must be torn down; and
if the connection along the original connection must be torn down, switching the connection to the alternate connection before tearing down the connection along the original connection.

2. The method of claim 1 further comprising the step of initiating a timer, and wherein the step of determining whether the connection along the original connection must be torn down comprises determining whether the timer expires before receipt of an ACM-related message at the source node from another node along the original connection.

3. The method of claim 1 wherein the step of establishing an alternate connection establishes an alternate connection so as to conform with the at least one original traffic parameter.

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4. The method of claim 1 wherein the step of establishing an alternate connection establishes an alternate connection so as to conform with at least one new traffic parameter corresponding to the ACM.
5. The method of claim 4 further comprising the steps of:
monitoring for receipt of a MODIFY REJECT message at the source node; and
if a MODIFY REJECT message is received at the source node, switching the connection to the alternate connection and tearing down the connection along the original connection.
6. The method of claim 1 wherein the ACM is one of a protected ACM and a protected and enabling ACM, a protected ACM being one where the connection is to be maintained even if only in conformance with the at least one original traffic parameter, a protected and enabling ACM being one where the connection must be adapted to conform to at least one new traffic parameter specified by the ACM, wherein if the ACM is a protected ACM the step of establishing an alternate connection comprises establishing the alternate connection in conformance with the at least one original traffic parameter, wherein if the ACM is a protected and enabling ACM the step of establishing an alternate connection comprises establishing the alternate connection in conformance with the at least one new traffic parameter, and wherein the method comprises the further step of:
determining whether the ACM is a protected ACM and whether the ACM is a protected and enabling ACM.

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7. The method of claim 6 further comprising the steps of:
monitoring for receipt of a MODIFY REJECT message at the source node; and
if a MODIFY REJECT message is received at the source node and if the ACM is a
protected and enabling ACM, switching the connection to the alternate connection and tearing
down the connection along the original connection.
8. The method of claim 1 wherein the communication system employs Asynchronous
Transfer Mode.
9. The method of claim 1 wherein the communication system employs Multiprotocol Label
Switching.
10. An Active Connection Modify controller within a source node of a communication
system, comprising instructions for executing the method of claim 1.
11. An Active Connection Modify controller within a source node of a communication
system, comprising instructions for executing the method of claim 6.
12. A computer-readable medium comprising instructions for executing the method claim 1.
13. A computer-readable medium comprising instructions for executing the method claim 6.

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14. A method of implementing an Active Connection Modify (ACM) for a connection in a communication system, the connection initially lying along an original connection between a source node and a destination node, the original connection conforming with at least one original traffic parameter, the method comprising the steps of:

receiving an ACM request from a user;

determining whether the ACM request includes a request that the connection be protected;

if the ACM request includes a request that the connection be protected, establishing an alternate connection between the source node and the destination node; and

attempting to implement the ACM along the original connection;

determining whether the connection along the original connection must be torn down;

if the connection along the original connection must be torn down and if the connection is to be protected, switching the connection to the alternate connection before tearing down the connection along the original connection.

15. The method of claim 14 further comprising the step of initiating a timer, and wherein the step of determining whether the connection along the original connection must be torn down comprises determining whether the timer expires before receipt of an ACM-related message at the source node from another node along the original connection.

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16. The method of claim 14 wherein the step of establishing an alternate connection establishes an alternate connection so as to conform with the at least one original traffic parameter.
17. The method of claim 14 wherein the step of establishing an alternate connection establishes an alternate connection so as to conform with at least one new traffic parameter corresponding to the ACM.
18. The method of claim 17 further comprising the steps of:
monitoring for receipt of a MODIFY REJECT message at the source node; and
if a MODIFY REJECT message is received at the source node, switching the connection to the alternate connection and tearing down the connection along the original connection.
19. The method of claim 14 wherein if the connection is to be protected the ACM is one of a protected ACM and a protected and enabling ACM, a protected ACM being one where the connection is to be maintained even if only in conformance with the at least one original traffic parameter, a protected and enabling ACM being one where the connection must be adapted to conform to at least one new traffic parameter specified by the ACM, wherein if the ACM is a protected ACM the step of establishing an alternate connection comprises establishing the alternate connection in conformance with the at least one original traffic parameter, wherein if the ACM is a protected and enabling ACM the step of establishing an alternate connection

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comprises establishing the alternate connection in conformance with the at least one new traffic parameter, and wherein the method comprises the further step of:

if the ACM request includes a request that the connection be protected, determining whether the ACM is a protected ACM and whether the ACM is a protected and enabling ACM.

20. The method of claim 19 further comprising the steps of:
monitoring for receipt of a MODIFY REJECT message at the source node; and
if a MODIFY REJECT message is received at the source node and if the ACM is a protected and enabling ACM, switching the connection to the alternate connection and tearing down the connection along the original connection.
21. The method of claim 14 wherein the communication system employs Asynchronous Transfer Mode.
22. The method of claim 14 wherein the communication system employs Multiprotocol Label Switching.
23. An Active Connection Modify controller within a source node of a communication system, comprising instructions for executing the method of claim 14.

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24. An Active Connection Modify controller within a source node of a communication system, comprising instructions for executing the method of claim 19.
25. A computer-readable medium comprising instructions for executing the method claim 14.
26. A computer-readable medium comprising instructions for executing the method claim 19.

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IX. EVIDENCE APPENDIX

A copy of the following evidence 1) entered by the Examiner, including a statement setting forth where in the record the evidence was entered by the Examiner, 2) relied upon by the Appellant in the appeal, and/or 3) relied upon by the Examiner as to the grounds of rejection to be reviewed on appeal, is attached:

NONE

Application No: 10/699,786
Attorney's Docket No: ALC 3095

X. RELATED PROCEEDINGS APPENDIX

Copies of relevant decisions in prior or pending appeals, interferences or judicial proceedings, known to Appellant, Appellant's representative, or the Assignee, that may be related to, or which will directly affect or be directly affected by or have a bearing upon the Board's decision in the pending appeal are attached:

NONE